



Integrated (18)F-FDG PET/perfusion CT for the monitoring of neoadjuvant chemoradiotherapy in rectal carcinoma: correlation with histopathology

Fischer, Michael A ; Vrugt, Bart ; Alkadhi, Hatem ; Hahnloser, Dieter ; Hany, Thomas F ;
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Abstract: **PURPOSE:** The aim of this study was to prospectively monitor changes in the flow-metabolic phenotype (Δ FMP) of rectal carcinoma (RC) after neoadjuvant chemoradiotherapy (CRT) and to evaluate whether Δ FMP of RC correlate with histopathological prognostic factors including response to CRT. **METHODS:** Sixteen patients with RC (12 men, mean age 60.7 ± 12.8 years) underwent integrated (18)F-fluorodeoxyglucose (FDG) positron emission tomography (PET)/perfusion CT (PET/PCT), followed by neoadjuvant CRT and surgery. In 13 patients, PET/PCT was repeated after CRT. Perfusion [blood flow (BF), blood volume (BV), mean transit time (MTT)] and metabolic [maximum and mean standardized uptake values (SUVmax, SUVmean)] parameters as well as the FMP ($BF \times SUVmax$) were determined before and after CRT by two independent readers and correlated to histopathological prognostic factors of RC (microvessel density, necrosis index, regression index, vascular invasion) derived from resected specimens. The diagnostic performance of Δ FMP for prediction of treatment response was determined. **RESULTS:** FMP significantly decreased after CRT ($p < 0.001$), exploiting higher changes after CRT as compared to changes of perfusion and metabolic parameters alone. Before CRT, no significant correlations were found between integrated PET/PCT and any of the histopathological parameters (all $p > 0.05$). After CRT, BV and SUVmax correlated positively with the necrosis index ($r = 0.67/0.70$), SUVmax with the invasion of blood vessels ($r = 0.62$) and Δ FMP with the regression index ($r = 0.88$; all $p < 0.05$). Δ FMP showed high accuracy for prediction of histopathological response to CRT (AUC 0.955, 95 % confidence interval 0.833-1.000, $p < 0.01$) using a cut-off value of -75 %. **CONCLUSION:** In RC, Δ FMP derived from integrated (18)F-FDG PET/PCT is useful for monitoring the effects of neoadjuvant CRT and allows prediction of histopathological response to CRT.

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Abstract

Purpose The aim of this study was to prospectively monitor changes in the flow-metabolic phenotype (ΔFMP) of rectal carcinoma (RC) after neoadjuvant chemoradiotherapy (CRT) and to evaluate whether ΔFMP of RC correlate with histopathological prognostic factors including response to CRT.

Methods Sixteen patients with RC (12 men, mean age 60.7 ± 12.8 years) underwent integrated ^{18}F -fluorodeoxyglucose (FDG) positron emission tomography (PET)/perfusion CT (PET/PCT), followed by neoadjuvant CRT and surgery. In 13 patients, PET/PCT was repeated after CRT. Perfusion [blood flow (BF), blood volume (BV), mean transit time (MTT)] and metabolic [maximum and mean standardized uptake values (SUV_{max} , SUV_{mean})] parameters as well as the FMP ($\text{BF} \times \text{SUV}_{\text{max}}$) were determined before and after CRT by two independent readers and correlated to histopathological prognostic factors of RC (microvessel density, necrosis index, regression index, vascular invasion) derived from resected specimens. The diagnostic performance of ΔFMP for prediction of treatment response was determined.

Results FMP significantly decreased after CRT ($p < 0.001$), exploiting higher changes after CRT as compared to changes of perfusion and metabolic parameters alone. Before CRT, no significant correlations were found between integrated PET/PCT and any of the histopathological parameters (all $p > 0.05$). After CRT, BV and SUV_{max} correlated positively with the necrosis index ($r = 0.67/0.70$), SUV_{max} with the invasion of blood vessels ($r = 0.62$) and ΔFMP with the regression index ($r = 0.88$; all $p < 0.05$). ΔFMP showed high accuracy for prediction of histopathological response to CRT (AUC 0.955, 95 % confidence interval 0.833–1.000, $p < 0.01$) using a cut-off value of -75 %.

Conclusion In RC, ΔFMP derived from integrated ^{18}F -FDG PET/PCT is useful for monitoring the effects of neoadjuvant CRT and allows prediction of histopathological response to CRT.

Keywords Positron emission tomography and computed tomography · Perfusion imaging · Colorectal neoplasms · Neoadjuvant therapy · Biological markers

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Introduction

Colorectal cancer (CRC) is the third most common malignancy in men and women and is generally associated with high mortality in the Western population [1, 2]. The introduction of preoperative chemoradiotherapy (CRT) in the surgical management of patients with locally advanced stages (II/III) of rectal carcinoma (RC) has significantly increased the 5-year disease-free survival [3, 4]. However, treatment response to CRT varies considerably between patients. Although 5-fluorouracil (5-FU)-based CRT induces downstaging in approximately 49–70 % [5], a complete histopathological response is achieved in only 8–14 % of the patients with development of metachronous metastases in approximately

30 % of cases [6, 7]. Depending on the degree of response, treatment can be individually optimized by dose escalation or by switching to more effective chemotherapeutic agents [8, 9]. Moreover, a substantial number of patients demonstrate an inadequate response despite optimal treatment (non-responders), resulting in unnecessary treatment toxicity as well as delay in surgical intervention. Therefore, it is of major clinical interest to accurately identify treatment response and to predict outcome following preoperative CRT for patients with RC.

In routine clinical practice, computed tomography (CT) plays an important role in the management of RC due to its widespread availability, affordability and reproducibility. However, due to its limited ability to discriminate residual tumour from normal tissue in the bowel wall, CT alone is partly insufficient for an accurate assessment of the response to neoadjuvant CRT [10, 11]. Integration of functional data to CT in terms of positron emission tomography (PET) with [^{18}F]fluoro-2-deoxy-D-glucose (FDG) allows one to quantify increased glucose metabolism in cancer cells, improving assessment of treatment response and enabling prediction of patient survival [12]. Recently, perfusion computed tomography (PCT) has emerged as a novel functional imaging technique and a promising new surrogate biomarker of angiogenesis [13–15], which is considered to play a pivotal role in colorectal tumour growth and dissemination [16].

PCT-derived vascular parameters [blood flow (BF), blood volume (BV), mean transit time (MTT)] are increasingly applied for diagnosis, staging and outcome prediction of RC [15, 17]. In addition, recently published data underline the potential of PCT for assessment of treatment response to CRT [18–20]. However, it remains unclear whether PET/CT-derived parameters of glucose metabolism [maximum and mean standardized uptake values (SUV_{max} , SUV_{mean})] exploit surrogates similar to PCT parameters [21] or whether the two methods are different surrogate biomarkers [22, 23]. If the latter holds true, a combined assessment of the flow-metabolic phenotype (FMP) of RC using integrated ^{18}F -FDG PET/PCT [24] might be of additional value in assessment of therapy response as well as risk stratification of patients with CRC receiving neoadjuvant therapy. Therefore, the aim of this study was to prospectively monitor changes to the FMP of RC after neoadjuvant CRT and to evaluate whether the FMP of RC can predict response to CRT and patient survival.

Materials and methods

Patients

This prospective study was approved by the local Ethics Committee and written informed consent was obtained from all patients. Between July 2007 and August 2010 a total of 16 patients (12 men and 4 women, mean age 60.7 ± 12.8 years,

range 31–78 years) undergoing ^{18}F -FDG PET/CT imaging prior to neoadjuvant CRT and surgery of locally advanced RC were included in this study. Inclusion criteria were biopsy-proven rectal adenocarcinoma, locally advanced disease (T2–4) with or without nodal involvement (N0, N1 or N2) or metastases (M0/M1) after primary staging including clinical examination, endorectal ultrasound and ^{18}F -FDG PET/CT as well as neoadjuvant treatment consisting of long-course CRT followed by surgical resection of the tumour. Patients with stage T1 rectal cancer, palliative disease and general contraindications to ^{18}F -FDG PET (uncontrolled diabetes) or contrast-enhanced CT (pregnancy, previous hypersensitivity reaction to intravenous contrast agent, renal impairment, defined as serum creatinine greater than $120 \mu\text{mol/l}$ or estimated glomerular filtration rate less than 60 ml/min) were excluded.

All patients underwent integrated ^{18}F -FDG PET including PCT imaging before CRT. After neoadjuvant CRT, 13/16 (81 %) patients (10 men and 3 women, mean age 60.1 ± 11.1 years, range 31–78 years) underwent an additional follow-up ^{18}F -FDG PET/PCT scan.

Treatment

All patients received the same neoadjuvant CRT regimen. Radiotherapy consisted of 45 Gy delivered over a period of 5 weeks and was combined with two courses of chemotherapy consisting of 350 mg of fluorouracil per square metre of body surface area per day and 20 mg leucovorin per square metre per day, both given over a period of 5 days. Surgical resection was performed 6–8 weeks after completion of CRT in all patients.

Histopathological assessment

Histopathological evaluation was performed by one pathologist (B.V.; with 7 years of experience in pathology) who was blinded to the results from PCT and PET analysis. Tissue blocks were constructed according to the total mesorectal excision (TME) protocol [25] and semi-thin 3- μm sections cut for routine examination. Pathological staging was performed according to the recent TNM classification (7th edition, 2010) [26]. In addition, the following histopathological prognostic markers were investigated: microscopic vascular invasion (MVI), necrosis index (NI), microvessel density (MVD) and tumour regression grade (TRG). Vascular and lymphangio-invasion were assessed microscopically on a two-point scale (present or absent) using additional histochemical (elastin van Gieson) and immunohistochemical stainings (D2-40, 1:50, Dako A/S, Glostrup, Denmark), respectively. NI was assessed semiquantitatively [0=absent, 1=focal (≤ 10 % of the tumour area), 2=moderate (10–30 %), 3=extensive (≥ 30 %)] as described by Pollheimer et al. [27]. MVD (expressed as number of vessels per mm^2) of the entire tumour cross section was determined by immunohistochemistry

with a CD34 antibody (QBEnd10, 1:400, Dako A/S, Glostrup, Denmark) as previously described [14]. Briefly CD34-stained slides were scanned, digitized and displayed on a high-resolution colour monitor; ten microscopic fields (0.1817 mm^2 each) within tumour tissue, each separated by five field widths, were randomly chosen on each tumour-containing tissue block. The number of vessels stained positive for CD34 was counted at a magnification factor of 400. The mean value of MVD was calculated for the entire tumour cross section (hereafter termed tumour cross section MVD). Assessment of the histological response to CRT was achieved for each RC using the tumour regression according to Dworak et al. [28]. This score identifies five TRG based on the presence of residual tumour and the extent of fibrosis: TRG 0, no regression; TRG 1, predominance of vital tumour cells over peritumoural fibrosis (focal regression); TRG 2, predominance of fibrosis over vital tumour cells (partial regression); TRG 3, residual tumour cells in fibrotic tissue (subtotal regression); TRG 4, no vital tumour cells detectable (total regression). Based on the Dworak classification, tumours with TRG 0–1 scores were regarded as non-responders and those with TRG 2–4 scores as responders.

Integrated ^{18}F -FDG PET/PCT imaging

All patients were examined in the supine position on a combined PET/CT in-line system (Discovery VCT, GE Healthcare, Milwaukee, WI, USA). These dedicated systems integrate a full-ring PET with a multislice helical 64-slice CT and permit the acquisition of coregistered CT and PET images in one imaging procedure. The patients were instructed to fast for 4 h prior to the examination. Scanning was started 60 min after the i.v. injection of a standard dose of 300–340 MBq FDG. Blood sugar levels were checked prior to the injection of the FDG.

After the acquisition of a low-dose, non-enhanced CT examination (80 mA, 140 kV, 0.5-s tube rotation, 4.25-mm section thickness), which was used for attenuation correction of the PET data as well as for the determination of anatomic distribution of increased radionuclide uptake, the PET emission examination was acquired with an acquisition time of 2 min per bed position [15-cm axial field of view (FOV)/bed position]. All PET images were reconstructed by using a standard fully 3-D iterative algorithm (ordered subset expectation maximization: 28 subsets, 2 iterations, 128×128 recon matrix and overlap of 9 slices).

The PCT examination was fully integrated into the standard contrast-enhanced PET/CT procedure [29]. The FOV of the PCT examination was defined on the basis of the focal glucose metabolism within the rectal wall with concomitant rectal wall thickening by one experienced radiologist, who directly reviewed the previously acquired PET/CT images on the scanner console. After target lesion definition, intravenous contrast injection was started by injecting a total dose of

70 ml contrast media (Ultravist 370, Bayer Schering Pharma, Berlin, Germany) at a flow rate of 6 ml/s via a cubital vein.

Dynamic CT image acquisition for perfusion imaging was started after a 5-s delay for 50 s in the area of interest using the following imaging parameters: 1-s rotation time with 1 image/s, cine duration 50 s, 8 slices, 5 mm slice thickness, 80 mA, 80 kV and effective radiation dose of 6 mSv. Thus, a craniocaudal coverage of 4 cm was achieved for the perfusion examination of the target RC lesion. The first contrast media bolus was followed by a saline flush (20 ml, 5 ml/s). Since the system did not allow for a shuttle mode at the time of the study, a 4-cm FOV for perfusion imaging represents the maximum coverage possible with the chosen time resolution. All images were transferred to a commercially available workstation (Advantage Workstation 4.4, GE Healthcare, Milwaukee, WI, USA) for further image post-processing (coregistration of PET and non-enhanced CT images) and image analysis.

Image analysis

Figure 1 gives an overview of image series derived from integrated PET/PCT and corresponding image analysis.

PET/CT data evaluation

PET data were evaluated by one board-certified radiologist and one board-certified nuclear medicine physician/radiologist in consensus according to routine clinical practice using dedicated PET/CT software (Volume Viewer PET/CT, Advantage Workstation 4.4, GE Healthcare), which provides multiplanar reformatted images of PET alone, CT alone and fused PET/CT. For quantitative analysis a cubic volume of interest (VOI) was placed over the primary target RC lesion on the fused PET/CT images by one of the two investigators. Using a threshold of 42 % of maximum voxel (default by software), the cuboid VOI is automatically transformed into a threshold delimited VOI and dedicated SUV_{max} and SUV_{mean} based on the FDG uptake were calculated separately for pretreatment (pre- SUV_{max} , pre- SUV_{mean}) and post-treatment (post- SUV_{max} , post- SUV_{mean}). Finally, the percentage difference from pre- to post-treatment values was calculated for both parameters ($\Delta\text{SUV}_{\text{max}}$, $\Delta\text{SUV}_{\text{mean}}$) as follows: $(\text{pre-SUV} - \text{post-SUV})/\text{pre-SUV} \times 100$.

PCT evaluation

All PCT data were evaluated using a commercially available perfusion software (CT Perfusion 3.0, GE Healthcare, Milwaukee, WI, USA). A threshold of –5 to 120 HU was defined for soft tissue visualization. The arterial input was defined by a circular automated region of interest (ROI) in one of the internal iliac arteries. The calculated time-enhancement curve and the parametric imaging maps for BF (ml/100 mg tissue per min), BV (ml/100 mg tissue) and MTT (s) were automatically calculated by the

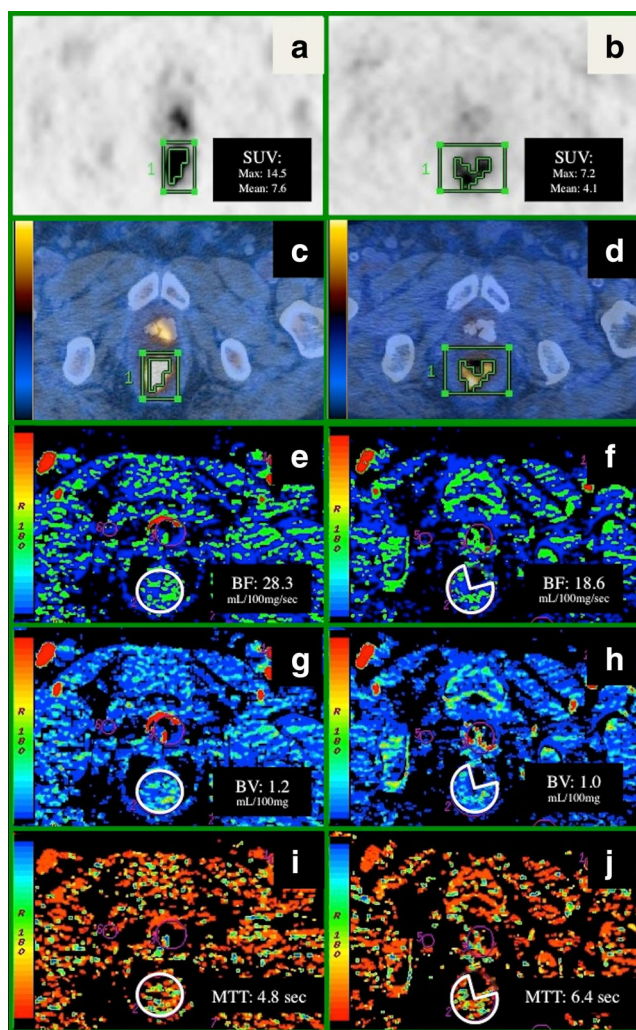


Fig. 1 Combined assessment of tumour perfusion and metabolism with integrated PET/PCT. A 57-year-old male patient with locally advanced RC (T3, N0, M0) undergoing simultaneous ^{18}F -FDG PET/CT (a–d) and PCT (e–j) before (left side) and after (right side) neoadjuvant CRT. Pre- and post-therapeutic SUV_{max} and SUV_{mean} were calculated from ^{18}F -FDG PET (a vs b) and ^{18}F -FDG PET/CT (b vs c), respectively, whereas BF (e vs f), BV (g vs h) and MTT (i vs j) were calculated from ROI measurements (white circle) on corresponding perfusion maps (e–j). The relative change between pre- and post-treatment SUV_{max} , SUV_{mean} , BF, BV and MTT measurements was -50.3 , -47.4 , -34.5 , -21.4 and $+33.2$ %, respectively. Histopathological analysis revealed moderate tumour regression (TRG 2), focal tumour necrosis (NI of 1) and intermediate MVD

software. The permeability surface (PS) was not calculated due to the short acquisition time of the study protocol.

Two readers M.A.F. and P.V-H. with 5 and 8 years of experience in abdominal radiology drew a freehand ROI defined by the margin of the target RC lesion in every affected slice of the perfusion volume (max. 8 slices) on one selected contrast-enhanced image series of the arterial phase which best delineated the tumour. Afterwards the ROIs were copied and pasted to the coregistered colour-coded image maps and perfusion parameters were recorded for the pre- (pre-BF, pre-BV, pre-MTT) and post- (post-BF, post-BV, post-MTT) treatment

examinations separately. Similar to PET analysis (see above), percentage differences from pre- to post-treatment values were calculated for each parameter (ΔBF , ΔBV , ΔMTT). Finally, the FMP in terms of the flow-metabolic product ($\text{BF} \times \text{SUV}_{\text{max}}$) was calculated for each examination [pre- $(\text{BF} \times \text{SUV}_{\text{max}})$, post- $(\text{BF} \times \text{SUV}_{\text{max}})$], and the percentage difference after CRT [$\Delta(\text{BF} \times \text{SUV}_{\text{max}})$] was determined. The product was chosen over the ratio of both parameters [24] as ΔBF and $\Delta\text{SUV}_{\text{max}}$ showed a positive correlation, which was stronger as compared to ΔBF and $\Delta\text{SUV}_{\text{mean}}$ (see “Results” section).

Statistical analysis

Data were descriptively reviewed and variables are expressed as mean \pm SD or as frequencies and percentages. The inter-observer variability of perfusion parameters (BF, BV, MTT) was assessed using Lin’s concordance correlation coefficient (ρ_c) with 95 % confidence interval (CI) [30]. Because inter-observer variability was minimal (see “Results” section), the mean of both measurements was taken for further analysis. Correlation between PCT and/or PET values as well as MVD was assessed using Pearson’s correlation coefficient (r), whereas correlation of PCT and/or PET values with NI or regression index was assessed with Spearman’s rank correlation coefficient (ρ).

Student’s t test for related samples was used to test for significant differences between pre- and post-therapeutic PCT and/or PET values. Differences of PCT and/or PET values between different groups of histopathological tumour regression were tested for statistical significance with the Mann-Whitney U test. The log-rank test was used to determine significant differences of 3-year survival between responders ($\text{TRG} \geq 2$) and non-responders ($\text{TRG} < 2$) to CRT.

To investigate the diagnostic accuracy of $\Delta(\text{BF} \times \text{SUV}_{\text{max}})$ for prediction of histopathological therapy response, the area under the curve (AUC) was determined by receiver-operating characteristic (ROC) analysis. The cut-off $\Delta(\text{BF} \times \text{SUV}_{\text{max}})$ value was chosen to yield 100 % sensitivity for predicting treatment response as defined by histopathology. Sensitivity, specificity, negative predictive value (NPV) and positive predictive value (PPV) are given with Wilson CIs. A p value < 0.05 was considered statistically significant. All statistical analyses were performed using commercially available software (SPSS, release 17.0, Chicago, IL, USA).

Results

Patients

The median interval between the baseline PET/PCT scan and surgery was 102 ± 21 days (range 68–116). The 3-year overall survival rate was 100 % in 11/16 (69 %) patients with a mean

follow-up period of 950 ± 213 days (range 553–1092). Of 16 patients, 3 (31 %) died within a 3-year period (median survival 287 ± 350 days, range 37–866). Three of five (60 %) patients died from reasons unrelated to RC including perioperative complications ($n=2$) and alcohol-related liver failure ($n=1$). These patients were excluded from the disease-specific survival analysis. Two of five (40 %) patients died because of progressive disease. In the group of the 13 patients with a follow-up PET/PCT examination after CRT, the median interval between the baseline and the follow-up PET/PCT scan was 89 ± 15 days (range 58–104), between completion of CRT and the follow-up scan 41 ± 9 days (range 35–56) and between the follow-up PET/PCT scan and surgery 8 ± 5 days (range 1–21).

Histopathological findings

The pathological TNM classification included the following stages: ypT1 N0 ($n=2$), ypT2 N0 M0 ($n=2$), ypT2 N1 M0 ($n=1$), ypT3 N0 M0 ($n=7$), ypT3 N1 M1 ($n=1$), ypT3 N2 M0 ($n=2$) and ypT4 N2 M1 ($n=1$). Microvascular invasion was present in 4/16 (25 %) patients, whereas lymphangio-invasion was detected in 1/16 (6 %) patients. The mean MVD was 76.6 ± 14.4 (range 56–107). No necrosis was observed in 7/16 (43.8 %), focal necrosis in 4/16 (25 %), moderate necrosis in 3/16 (18.8 %) and extensive necrosis in 2/16 (12.5 %) patients. Tumour regression according to the Dworak classification revealed a TRG 1 in 2/16 (12.5 %), a TRG 2 in 10/16 (62.5 %), a TRG 3 in 2/16 (12.5 %) and a TRG 4 in 2/16 (12.5 %) patients. An inadequate histological response to CRT (TRG 1) was observed in 2/16 (12.5 %) patients, who were classified as non-responders. All other patients (87.5 %) demonstrated a subtotal to total regression following CRT and therefore were regarded as responders.

Integrated PET/PCT

Interreader agreement (IRA) of perfusion parameters

IRA was good for pre-BF [$\rho_c=0.82$, 95 % confidence limit (CL) 0.56–0.93], pre-BV ($\rho_c=0.86$, 95 % CL 0.67–0.95), pre-MTT ($\rho_c=0.90$, 95 % CL 0.77–0.96), post-BV ($\rho_c=0.89$, 95 % CL 0.69–0.97), post-MTT ($\rho_c=0.83$, 95 % CL 0.56–0.94) and ΔBF ($\rho_c=0.90$, 95 % CL 0.71–0.97) and excellent for post-BF ($\rho_c=0.92$, 95 % CL 0.79–0.97) and ΔBV ($\rho_c=0.93$, 95 % CL 0.79–0.98). Moderate IRA was seen for ΔMTT ($\rho_c=0.73$, 95 % CL 0.34–0.90).

Monitoring of neoadjuvant CRT

Table 1 and Fig. 2 give an overview of pre- and post-therapeutic perfusion and metabolic parameters and the flow-metabolic product with corresponding percentage

changes and p values after neoadjuvant CRT. The greatest post-therapeutic change was revealed for $\Delta(BF \times SUV_{max})$.

Correlation of PET and PCT parameters

No significant correlation was found between any combination of perfusion and metabolic parameters between pretreatment, post-treatment and Δ values.

Correlation of PET and/or PCT with histopathology

For pretreatment PET and/or PCT parameters, no significant correlation was found with any of the histopathological prognostic markers. After treatment all PET and PCT parameters except for post-MTT correlated significantly with the NI. In addition, a significant correlation was found between post- SUV_{max} , post- SUV_{mean} , post-FMP and TRG as well as between SUV_{max} and MVI of blood vessels (Table 2). No significant correlation was seen for TNM and MVD (all $p>0.05$).

Changes (%) of PET and/or PCT parameters from pre- to post-therapy showed a significant inverse correlation for all Δ values with TRG (all $p<0.05$) except for ΔMTT . The ΔFMP ($BF \times SUV_{max}$) exploited the highest correlation coefficient ($\rho=-0.877$, $p<0.001$) of all parameters (Table 3). Only ΔFMP ($BF \times SUV_{max}$) showed a significant difference between partial, incomplete and complete histopathological regression with an AUC of 0.955 (95 % CI 0.833–1.000, $p=0.009$), an AUC of 0.972 (95 % CI 0.809–1.000, $p=0.048$) and an AUC of 1.0 (95 % CI 1.000–1.000, $p=0.03$), respectively (Fig. 3).

Prediction of histopathological response to CRT

ΔPET and/or ΔPCT parameters are shown in Table 3. Using a cut-off ΔFMP ($BF \times SUV_{max}$) of -75 %, we found a sensitivity of 100 % (CI 75–100 %) and a specificity of 91 % (CI 69–100 %) for prediction of therapy response (Fig. 4). The corresponding PPV was 67 % (CI 13–100 %) and NPV was 100 % (CI 95–100 %).

Discussion

In this prospective study we have shown that changes in the FMP derived from integrated PET/PCT allows for monitoring of neoadjuvant CRT, exploiting higher changes after CRT as compared to changes of perfusion and metabolic parameters alone. Before CRT, integrated PET/PCT did not correlate with any of the investigated histopathological parameters. After CRT, the strong association of BV with NI, SUV_{max} with vascular invasion and ΔFMP with tumour regression demonstrates

Table 1 Changes of ^{18}F -FDG PET, PCT and combined parameters (FMP) during neoadjuvant CRT

| Monitoring of CRT | Before CRT ($n=17$) | After CRT ($n=13$) | Difference (%) | p value ^a |
|---|-----------------------|----------------------|----------------|------------------------|
| PCT | | | | |
| BF (ml/100 mg/min) | 68.1±24.0 | 32.8±16.2 | −47.3±25.2 | <0.001 |
| BV (ml/100 mg) | 6.5±2.4 | 3.6±1.7 | −41.1±25.4 | =0.001 |
| MTT (s) | 9.8±2.4 | 12.1±3.1 | 23.8±34.1 | 0.089 |
| ^{18}F-FDG PET | | | | |
| SUV _{max} | 17.5±7.1 | 4.6±2.3 | −68.1±22.9 | <0.001 |
| SUV _{mean} | 6.8±2.1 | 2.9±0.8 | −52.8±21.4 | <0.001 |
| FMP | | | | |
| BF × SUV _{max} (ml/100 mg/min) | 1,172.7±690.3 | 144.0±114.9 | −81.0±23.0 | <0.001 |

BF blood flow, BV blood volume, MTT mean transit time

^a Student's t test for related samples was used to test for significant differences between pre- and post-therapeutic values. Italics indicate a non-significant result

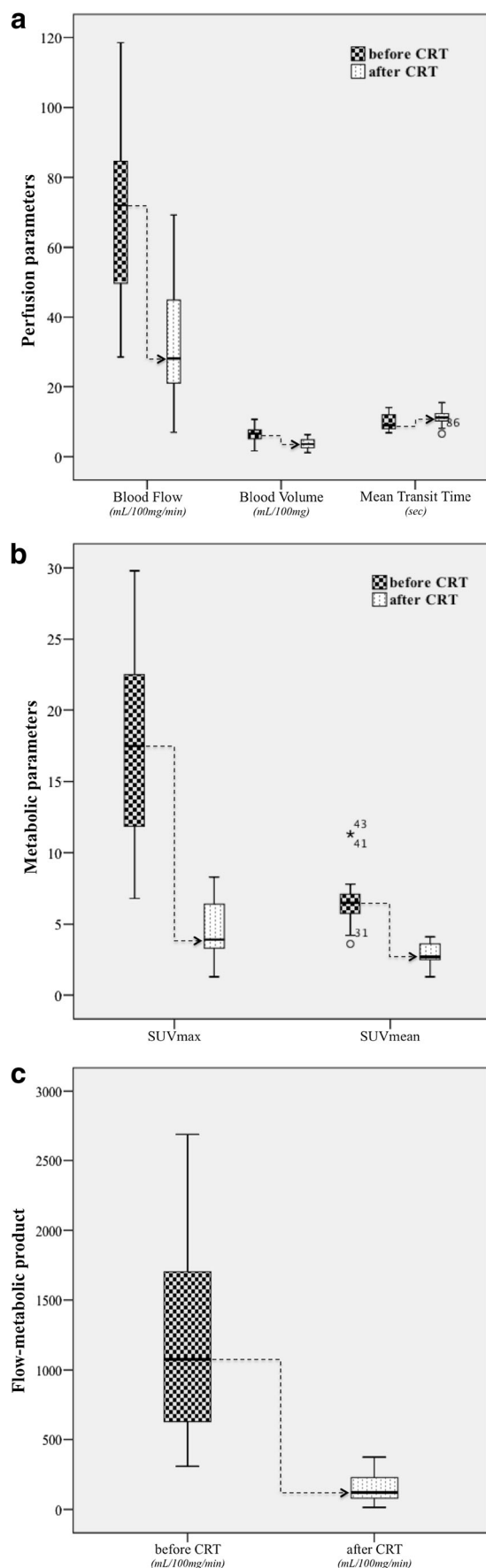
that PET/PCT measurements reflect histopathological changes indicative of a poor prognosis and as a result are helpful in predicting the response to CRT and overall survival. Accordingly, ΔFMP showed an excellent diagnostic accuracy for prediction of histopathological response using a cut-off value of −75 %.

Accurate monitoring of neoadjuvant CRT is of major clinical importance for an individually tailored treatment regime of patients with RC [6–9, 31]. PET/CT is increasingly used in routine clinical practice for assessment of treatment response as metabolic parameters (SUV_{max}, SUV_{mean}) decrease in cases of tumour regression [12]. Recent studies showed the potential of PCT for monitoring of CRT in RC, while decreases in perfusion parameters (BF, BV) are thought to reflect angiogenic changes in neoplastic tissue in terms of a decreased number of arteriovenous shunts and a reduced volume of the vascular bed [18–20]. Consistent with these studies, our results demonstrate a significant decrease in perfusion parameters (BF, BV) over the course of CRT. However, we observed no significant difference in MTT, which is in partial agreement with the previously mentioned studies. This might be explained by insubstantially different changes to the volume of distribution (V) and the flow through the exchange unit (F) in RC over the course of CRT ($\text{MTT}=V/F$) and might be dependent on the time point of the follow-up examination as volume changes usually appear more slowly than flow-related differences [32]. Compared with metabolic parameters derived from ^{18}F -FDG PET, PCT parameters in terms of ΔBF and ΔBV showed a similar potential for monitoring of CRT. However, combination of both parameters to a flow-metabolic product showed the highest changes after CRT (−81 %) as compared to

changes of perfusion and metabolic parameters alone, indicating a potential benefit from combined multimodality assessment of perfusion and metabolic parameters for monitoring of neoadjuvant CRT.

Several studies have investigated the relationship between ^{18}F -FDG PET and PCT [22–24, 29, 33, 34], demonstrating that the balance between tumour vascularity and glucose metabolism offers substantial information concerning tumour adaption to the microenvironment. Matched high glucose metabolism with increased vascularity (coupling) represents a different biological status compared with mismatch of high metabolism and lower vascularity, the latter possibly indicating adaptation to hypoxia [35]. Accordingly, combined assessment of tumour BF and metabolism in terms of FMP was shown to provide additional diagnostic information for prediction of treatment response in breast and pancreatic cancer [36–38]. In CRC, low flow and high metabolism were associated with higher levels of hypoxia-inducible factor 1 α (hypoxia) and vascular endothelial growth factor (angiogenesis); however, uncoupling of flow and metabolism was revealed exclusively in stage I/II CRC, whereas coupling was demonstrated for stage III/IV CRC [23, 24]. Our results partially underline these findings as no coupling was revealed between ^{18}F -FDG PET and PCT before and after CRT for the included stage II/III RC. Accordingly, the

Fig. 2 Comparison of pre- and post-CRT measurements of **a** PCT-derived vascular parameters (BF, BV, MTT), **b** ^{18}F -FDG PET-derived glucose metabolism parameters (SUV_{max}, SUV_{mean}) and **c** combined parameters (FMP). Data are displayed as box-and-whisker plots. Line within box indicates median, boundary of boxes indicates 25th and 75th percentiles and error bars indicate smallest and largest values within 1.5 box lengths of 25th and 75th percentiles



flow-metabolic relationships of other tumour entities like non-small cell lung cancer [34], breast cancer [39] and head and neck squamous cell cancer [22, 40, 41] were shown to depend upon tumour size and stage, indicating that BF and glucose uptake represent independent measures of tumour biology.

However, no correlation was found for pretreatment perfusion and metabolic parameters alone and in combination (flow-metabolic ratio or product) with prognostic histopathological parameters evaluated in this study. This might be explained by the time point of histopathological evaluation which was, defined by standard routine clinical practice, performed after surgery and thus after CRT. Therefore, our results indicate that integrated PET/PCT at baseline might be of limited value for prediction of tumour grade and treatment response to neoadjuvant CRT in patients with locally advanced RC. This is consistent with a study evaluating the prognostic value of metabolic parameters [42] but in contrast to results of two recent studies revealing the prognostic value of baseline perfusion parameters for prediction of treatment response to neoadjuvant CRT [19, 20]. However, both studies defined treatment response (local downstaging after CRT/TRG 3–4) differently compared to our study (TRG 2–4).

In contrast to pretreatment parameters, a significant positive correlation of several PCT and ^{18}F -FDG PET parameters with histopathological factors was revealed after CRT. Of those, post-BV/post-SUV_{max} and post-SUV_{max} showed the highest correlation with the NI and the invasion of blood vessels. The presence of tumour necrosis has been related to intra-/peritumoural inflammation and microsatellite status [43] and was shown to be an independent predictor of disease progression and cancer-specific survival [27]. Similar to microvascular invasion of blood or lymphogenic vessels, it is therefore regarded as a surrogate for a more aggressive tumour phenotype. Thus, the low BV might be explained by a lower amount of viable tumour tissue of necrotic versus non-necrotic tumours, whereas dedifferentiated (more aggressive) carcinomas typically show a constitutively upregulated glycolysis [44], which explains the higher SUV_{max} of necrotic and invasive RC demonstrated in our study.

Similar to previous studies, we did not find a relationship between perfusion or metabolic parameters and the MVD [14, 45, 46], which is considered a surrogate marker of tumoural angiogenesis and was correlated with poor outcome in RC [47]. However, another study suggests a relationship between MVD and RC [13], indicating that different results might be due to underestimation of the MVD with CD34 antibodies [48] or to the high interobserver and methodological variability of

Table 2 Correlation of post-CRT ^{18}F -FDG PET, PCT and combined parameters (FMP) with histopathological prognostic factors derived from resected specimens

| Correlation | TRG | NI | MVI | | MVD | TNM | |
|---|---------------|--------------|--------------|-------|--------|--------|--------|
| | | | BVS | LVS | | T | N |
| PCT | | | | | | | |
| Post-BF (ml/100 mg/min) | −0.552 | 0.597 | 0 | 0.386 | −0.057 | −0.328 | 0.047 |
| Post-BV (ml/100 mg) | −0.486 | 0.668 | 0.125 | 0.311 | 0.114 | −0.202 | 0.032 |
| Post-MTT (s) | 0.240 | −0.132 | −0.178 | 0.309 | 0.196 | 0.088 | 0.111 |
| ¹⁸ F-FDG PET | | | | | | | |
| Post-SUV _{max} | −0.795 | 0.699 | 0.624 | 0.309 | 0.230 | 0.243 | 0.079 |
| Post-SUV _{mean} | −0.676 | 0.565 | 0.472 | 0.077 | 0.248 | 0.25 | −0.223 |
| FMP | | | | | | | |
| Post-BF × Post-SUV _{max} (ml/100 mg/min) | −0.795 | 0.734 | 0.401 | 0.463 | 0.113 | −0.003 | −0.063 |

Spearman's rank correlation coefficients (ρ) are displayed for all correlations except for MVD (Pearson's r). Boldface indicates significant correlation ($p < 0.05$)

TRG tumour regression grade, NI necrosis index, MVI microscopic vascular invasion, BVS blood vessels, LVS lymphogenic vessels, MVD microvessel density, T tumour, N node, BF blood flow, BV blood volume, MTT mean transit time, Post- post-CRT

MVD assessment [23, 49]. Moreover, we did not anatomically match histopathological samples and perfusion measurements, which implies a potential measurement bias, although the whole tumour volume was assessed using integrated PET/PCT.

The histopathological TRG is a known prognostic factor in locally advanced RC treated with neoadjuvant CRT and the use of the standardized Dworak grading system to evaluate the TRG in RC was shown to be reliable to identify patients with a worse prognosis [50, 51]. In our study, correlation of Dworak's TRG derived from histopathology and PCT/ ^{18}F -FDG PET parameters

was demonstrated for metabolic changes ($\Delta\text{SUV}_{\text{max}}/\text{mean}$) and TRG 2, whereas changes to tumour perfusion ($\Delta\text{BF}/\text{BV}$) showed significant correlation with TRG 3 and 4. Accordingly, changes to tumour metabolism seem to appear earlier (lower threshold) in treated RC (demonstrating a possibly higher sensitivity), whereas changes to tumour perfusion seem to appear later in the presence of subtotal or total tumour fibrosis, thus demonstrating a possibly higher specificity. As changes to perfusion were shown to be linked to chemotherapeutic agents like bevacizumab, whereas metabolic changes occurred predominantly after addition of

Table 3 Comparison of changes (Δ) to ^{18}F -FDG PET, PCT and combined parameters (FMP) over the course of CRT with TRG derived from histopathological analysis

| Response to CRT | TRG | | | |
|--|------------------------|---------------------------|---------------------------|--------------------|
| | Correlation (ρ) | TRG ≥ 2 (p value) | TRG ≥ 3 (p value) | TRG=4 (p value) |
| PCT | | | | |
| ΔBF (%) | −0.795 | >0.05 | <0.05 | <0.05 |
| ΔBV (%) | −0.699 | >0.05 | <0.05 | <0.05 |
| ΔMTT (%) | 0.513 | >0.05 | <0.05 | >0.05 |
| ^{18}F-FDG PET | | | | |
| $\Delta\text{SUV}_{\text{max}}$ (%) | −0.666 | <0.05 | >0.05 | >0.05 |
| $\Delta\text{SUV}_{\text{mean}}$ (%) | −0.723 | <0.05 | <0.05 | >0.05 |
| FMP | | | | |
| $\Delta(\text{BF} \times \text{SUV}_{\text{max}})$ (%) | −0.877 | <0.05 | <0.01 | <0.05 |

Spearman's rank correlation coefficient (ρ). Boldface indicates significant correlation ($p < 0.05$) or significant differences between different regression groups. Δ – indicates percentage difference between pre- and post-CRT values

TRG ranked 0–4. TRG 2 partial regression, TRG 3 incomplete regression, TRG 4 complete regression, BF blood flow, BV blood volume, MTT mean transit time

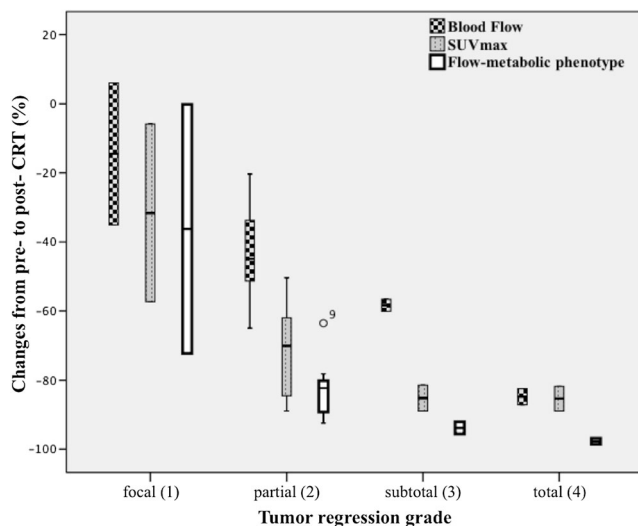


Fig. 3 Comparison of percentage changes (Δ) to BF, SUV_{max} and the combination of both parameters in terms of FMP ($BF \times SUV_{max}$) over the course of CRT in regards to the TRG as derived from histopathological analysis of the resected specimens. Significant inverse correlation was shown for all Δ values with TRG (all $p < 0.05$). However, $\Delta(BF \times SUV_{max})$ exploited the highest correlation coefficient ($\rho = -0.877$, $p < 0.001$) and was the only parameter that showed a significant difference between partial, incomplete and complete histopathological regression ($p < 0.05$). Data are displayed as box-and-whisker plots. Line within box indicates median, boundary of boxes indicates 25th and 75th percentiles and error bars indicate smallest and largest values within 1.5 box lengths of 25th and 75th percentiles

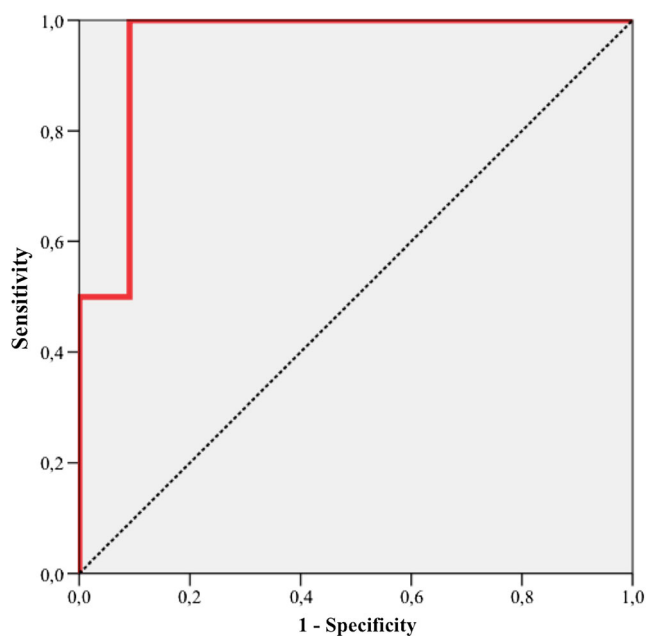


Fig. 4 Diagnostic accuracy of pre- to post-therapeutic changes to the FMP $\Delta(BF \times SUV_{max})$ for prediction of response to CRT as determined by histopathology. ROC analysis revealed an AUC of 0.955 (95 % CI 0.833–1.000, $p = 0.009$), a sensitivity of 100 % (CI 75–100 %) and a specificity of 91 % (CI 69–100 %) using a cut-off value of -75 %. The corresponding PPV was 67 % (CI 13–100 %) and NPV was 100 % (CI 95–100 %)

radiation therapy in RC [35], our results confirm that both parameters exploit different surrogate markers for therapy response and suggest the benefit of combined assessment of perfusion and metabolism in terms of a flow-metabolic product (coupling of ΔBF and ΔSUV_{max}). ΔFMP was the only parameter to show a significant difference between all groups of TRG present in our study. Similar to the previously mentioned study of Dhadha et al. [50], we defined specimens with a TRG of 2–4 as responders and specimens with a TRG of 0–1 as non-responders to CRT as both groups showed a significant difference in patient survival. By doing so, ΔFMP showed an excellent accuracy for prediction of treatment response to neoadjuvant CRT in patients with RC.

Limitations

We have to acknowledge the small patient population as a limitation, and further studies on larger groups of patients are required to confirm our findings. However, our study indicates that PET/PCT may serve as an important adjunct in determining the biological response to CRT and in predicting histopathological and clinical outcome in patients with RC. Secondly, perfusion imaging contributes a substantial increase of radiation dose to the patient. However, evaluated tumour volumes (4 cm) and therefore applied radiation doses were relatively small and all patients underwent neoadjuvant radiotherapy, which is associated with significantly higher radiation exposure. Moreover, additional parameters of integrated PET/PCT such as the PS area product or the total lesion glycolysis and PET_{vol} need to be evaluated in future. Finally, the accuracy of perfusion measurements have been shown to depend on technical and individual aspects like temporal scan interval [52], post-processing software [53], tumour ROI placement and observer variation [54], which hamper a comparison of PCT measurements between different vendors. However, ΔFMP should be robust enough to remain unaffected by those confounding factors if baseline and preoperative examinations are performed by the same investigator and imaging system.

Conclusion

The ΔFMP of RC derived from integrated ^{18}F -FDG PET/PCT has potential for monitoring the effects of neoadjuvant CRT and allows prediction of histopathological response to CRT.

Conflicts of interest None.

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